

INF 389R: Introduction to Archival Enterprise I, Spring 2024
Unique No.: 27824

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Office Hours: Thursday, 6:30 pm to 8:30 pm [Zoom] and by appointment [Zoom]

Course Meeting Time: Tuesday, 6:30 pm to 9:30 pm (1.212)

COURSE DESCRIPTION

An introduction to the principles and practice of appraisal, acquisition, preservation, reference service, and administration of institutional and collected archives and of archival repositories.

Have you ever thought about how societies benefit from transferring recorded knowledge across generations? In this course, we will examine how 'the archive' as a repository of permanently valuable records plays a significant role in realizing this benefit. But what determines whose histories and stories, and which records make it into the archive? What types of archival repositories store the evidence that we consider valuable to society, and what new forms of digital evidence are emerging in this regard?

In this course, you'll learn about the vital role of archivists in preserving essential evidence for current and future generations. But what exactly is an archivist, and how is their work similar and different from that of records and information managers, digital curators, librarians, and the like? How and why has the archivist transformed from passive curator to active appraiser, societal mediator, and community facilitator? If archives exist to serve those who wish to use archival materials, what does and should this service entail? As the profession evolves, what changes do we see to the field's philosophy, principles, ethics, and values, and what issues remain unsolved or controversial?

In tackling these questions, this course includes a range of teaching and learning techniques that will allow you to fully engage with the subject matter. Included are core class sessions to help you historicize, frame, analyze, contextualize, evaluate, challenge, and re-imagine archives and archival work. A collaborative learning environment of flipped classroom sessions and an experiential processing project will create a space for applying and authoring new knowledge about archives and archival work.

COURSE OBJECTIVES

By the end of the course, students will be able to:

- Recognize the importance of records and recordkeeping in societies and cultures.
- Express the essential character of the archival profession, including the philosophy, principles, and ethics of the field.
- Evaluate archival enterprise's history, theory, and practice regarding handling documentation (the record) from acquisition through use.
- Distinguish American archival traditions alongside broader international contexts.
- Identify and conceptualize classical, contemporary, and emerging models of practice (particularly as they relate to technology and the processing and management of born-digital records).
- Weigh the relationship and the connections between archives and allied areas (such as records and information management, digital curation, digital humanities, digital libraries, knowledge management, and digital forensics).
- Investigate and incorporate ethical and legal considerations and culturally responsive approaches to archival work and the provision of archival services to various constituencies.
- Demonstrate mastery of archival knowledge, principles, and skills by arranging and describing personal papers or organizational records using appropriate principles and standards (DACS, etc.) and creating an associated finding aid for the materials.
- Demonstrate basic competence in data structure standards by creating an EAD (Encoded Archival Description (EAD) finding aid in XML.

PLEASE NOTE: Students enrolled in this class are expected to spend at least 50 hours outside of class time working at a local archival repository. This work will take place over the course of the term and will involve group processing of previously unarranged and undescribed material.

CLASS RESOURCES

Required Textbooks

- *Archival Arrangement and Description*, edited by Christopher J. Prom and Thomas J. Frusciano (Chicago: Society of American Archivists, 2013). Available from the University Bookstore. Also available as a PDF, EPUB, or print version from the Society of American Archivists, <http://www.archivists.org> (List price: \$34.99; SAA member price: \$24.99).
- *Putting Descriptive Standards to Work*, edited by Kris Kiesling and Christopher J. Prom (Chicago: Society of American Archivists, 2017). Available from the University Bookstore. Also available as a PDF, EPUB, or print version from the Society of American Archivists, <http://www.archivists.org> (List price: \$39.99; SAA member price: \$29.99).
- *Photographs: Archival Care and Management* by Mary Lynn Ritzenthaler & Diane Vogt-O'Connor. Available from the University Bookstore. Available as a PDF or EPUB from the Society of American Archivists, <http://www.archivists.org> (List price: \$24.99; SAA member price: \$19.99).
- *Describing Archives: A Content Standard*, 2021.0.0.2 (Chicago: Society of American Archivists, 2021). Cited in the syllabus as DACS. Available free online at: <https://saa-ts-dacs.github.io>.

Use of Canvas in Class

[Canvas](#) - a Web-based course management system with password-protected access – will be used to distribute course materials, communicate and collaborate, post announcements, and submit assignments. You can find technical support through the Canvas Resource Center.

COURSE ASSIGNMENTS AND WEIGHTS

1. Arrangement of the Samuel Goudsmit Papers (10% - Due Week 4). Digital copies of the Samuel Goudsmit Papers are available through Canvas. Working in groups, you are to formulate an organization for the documents identifying the groupings into which you would place the material. Hand in an outline showing how you have: [A] grouped the materials and [B] ordered the units to five levels below the fonds (subgroups, series, subseries, files, items). In parentheses, indicate the inclusive dates and number of items for each unit of documentation. Below the file level, you should also include an item-level listing of each document. This outline should be submitted to Canvas in electronic format. For additional information about this assignment please see the course manual.

2. EAD Finding Aid (Due Week 11 – 5%). The purpose of this assignment is to learn to use an XML editor and the EAD Schema and to connect specific bits of information from the archival finding aid with the appropriate EAD elements and attributes. Detailed information about how to complete the EAD assignment can be found in the course manual.

3-7. Processing a body of previously unarranged and undescribed material in an archival repository (70%). In this assignment, you will work in groups of 2-4 people to arrange and describe a collection of personal papers or organizational records using appropriate standards. Another purpose of the project is to give you an opportunity to work for, and observe, the staff of a functioning archive. You should plan to spend approximately 50 hours outside of class to complete this assignment. Project assignments will be announced by week two. In completing this assignment, you will produce:

- (3) **Appraisal Report and Processing Plan** (20% – Due Week 7)
- (4) **Finding Aid Draft** (Due Week 12)
- (5) **Edited/Final Finding Aid** (30% - Due Week 15)
- (6) **EAD version of the final Finding Aid** (10% - Due Week 15)
- (7) **Participation** (10%)

Please note that part of your participation in the practicum involves agreeing to uphold the ethical standards of conduct of the profession. With appropriate guidance, students are expected to exercise professional judgment in processing materials to ensure the authenticity, integrity, security, preservation, accessibility, and lasting cultural and historical value of diverse collections under their care while also respecting the privacy rights of donors and individuals or groups who are the subject of records.

I will do an on-site visit during week eight. For this meeting, which lasts about an hour, each group is to bring a printed copy of their ARPP (including a written outline of the arrangement to the file level). We will discuss the ARPP and the arrangement you have formulated. When your ARPP has been approved, you are to do the following: (1) sort the material into the arrangement upon which we have agreed, (2) put it in the appropriate housing, (3) as time permits, prepare folder labels, and (4) write a finding aid for the collection.

Because the finding aid is to be structured in the form prescribed by the repository in which you work, the configuration of the elements may vary from what we discuss in class. If the repository form does not give sufficient opportunity for you to convey all of the information that you think should be presented, or if it requires you to present your data in a way that you feel differs markedly from the generic format used in this class, attach a supplementary document explaining how you would have done the work had you been able to structure the document in the manner you thought appropriate. The goal is to demonstrate that you are well-grounded in the kinds of and distinctions between kinds of information essential to a good archival finding aid. These assignments are to be turned in via Canvas.

Evaluation: Giving appropriate credit for individual contributions to group projects is difficult since the instructor can have little knowledge of the day-to-day functioning of each group. To facilitate accurate grading of the project, I ask that at the end of the term, each student submit (via Canvas) a confidential memo crediting each member of the group, including yourself, with a percentage of contribution to the whole. The percentages must total 100. In addition, you should comment upon the work of any group member whose outstanding performance should be recognized or whose failure to contribute appropriately to the group's work has affected the group's product on which all are graded. Finally, you should describe any challenges that arose in the process of working as a group (coordination, motivation, effort, etc.) and the strategies you utilized to address these issues. As appropriate, these observations will be considered in assigning the class participation grade. For additional information about this assignment please see the course manual.

8. Class attendance (15%)

Regular attendance is critical for receiving a good grade in this course. Attendance will be taken during each class period. Absences will be excused in situations following university policy (illness, religious holy days, participation in university activities at the request of university authorities, and compelling absences beyond your control). Absences should be accompanied by timely notification (prior to class for non-emergencies) and proper documentation. Up to two absences can be excused during the semester, with a makeup assignment used to assign points in lieu of attendance. This assignment (either a mini-zine about the archival collection your group is processing or a completed passport from the Austin Archives Bazaar on April 14th) should be submitted to the instructor no later than April 23rd.

PLEASE NOTE: Assignments should be submitted to Canvas by 8am on the due date. The following schedule will be used as the basis for calculating grades:

Grade	A	A-	B+	B	B-	C+	C	C-	F
Cutoff	95%	89%	84%	79%	74%	69%	64%	60%	<60%

Late Work: Please note that given the nature of the course, it is generally not possible to grant extensions for assignments. If the assignment is not handed in on the due date and time you may lose half a letter grade (e.g., A becomes A-, A- becomes B+, and so on) for every 24 hours the assignment is late. Assignments will not be accepted more than 6 days past the due date.

UNIVERSITY POLICIES

The University of Texas Honor Code

The core values of The University of Texas at Austin are learning, discovery, freedom, leadership, individual opportunity, and responsibility. Each member of the university is expected to uphold these values through integrity, honesty, trust, fairness, and respect toward peers and the community.

Policy on Academic Integrity

A fundamental principle for any educational institution, academic integrity is highly valued and seriously regarded at The University of Texas at Austin. More specifically, you and other students are expected to maintain absolute integrity and a high standard of individual honor in scholastic work undertaken at the University. This is a basic expectation that is further reinforced by the University's Honor Code. At a minimum, you should complete any assignments, exams, and other scholastic endeavors with the utmost honesty, which requires you to:

- Acknowledge the contributions of other sources to your scholastic efforts;
- Complete your assignments independently unless expressly authorized to seek or obtain assistance in preparing them;
- Follow instructions for assignments and exams, and observe the standards of your academic discipline; and
- Avoid engaging in any form of academic dishonesty on behalf of yourself or another student.

For the official policies on academic integrity and scholastic dishonesty, please refer to Chapter 11 of the Institutional Rules on Student Services and Activities.

Prohibition on the Sharing of Course Materials

No materials used in this class, including, but not limited to, lecture hand-outs, videos, assessments (e.g., papers, projects, homework assignments), in-class materials, review sheets, and additional problem sets, may be shared online or with anyone outside of the class without explicit, written permission of the instructor. The unauthorized sharing of materials promotes cheating. It is a violation of the University's Student Honor Code and an act of academic dishonesty. The University is aware of the sites used for sharing materials, and any materials found on such sites that are associated with a specific student or any suspected unauthorized sharing of materials will be reported to Student Conduct and Academic Integrity in the Office of the Dean of Students. These reports can result in sanctions, including failure of the course.

Q Drop Policy

If you want to drop a class after the 12th class day, you will need to execute a Q drop before the Q-drop deadline, which typically occurs near the middle of the term. Under Texas law, you are only allowed six Q drops while you are in college at any public Texas institution.

Use of E-Mail for Official Correspondence to Students

E-mail is recognized as an official mode of university correspondence; therefore, you are responsible for reading your e-mail for university and course-related information and announcements. You are responsible for informing the university about changes to your e-mail address. You should check your e-mail regularly and frequently—I recommend daily but at minimum twice a week—to stay current with university-related communications, some of which may be time-critical. You can find UT Austin’s policies and instructions for updating your e-mail address at <https://it.utexas.edu/policies>.

Religious Holy Days

The University of Texas at Austin is strengthened by its global and multicultural character and is committed to diversity and equal opportunity in employment and education. This commitment includes embracing religious diversity and cultivating a community of inclusion and respect. Please notify me of your pending absence at least fourteen days prior to the date of observance of a religious holy day. If you must miss a class, a work assignment, or a project to observe a religious holy day, I will give you an opportunity to complete the missed work within a reasonable time after the absence. Students who have questions or concerns about academic accommodations for religious observance or religious beliefs may contact the [Center for Equity and Inclusion](#).

Title IX Reporting

Title IX is a federal law that protects against sex and gender-based discrimination, sexual harassment, sexual assault, sexual misconduct, dating/domestic violence and stalking at federally funded educational institutions. UT Austin is committed to fostering a learning and working environment free from discrimination in all its forms. When sexual misconduct occurs in our community, the university can:

- Intervene to prevent harmful behavior from continuing or escalating.
- Provide support and remedies to students and employees who have experienced harm or have become involved in a Title IX investigation.
- Investigate and discipline violations of the university’s relevant policies.

Faculty members and certain staff members are considered ‘Responsible Employees’ or ‘Mandatory Reporters,’ which means that they are required to report violations of Title IX to the Title IX Coordinator. ***I am a Responsible Employee and must report any Title IX related incidents*** that are disclosed in writing, discussion, or one-on-one. Before talking with me, or with any faculty or staff member about a Title IX related incident, be sure to ask whether they are a responsible employee. If you want to speak with someone for support or remedies without making an official report to the university, email advocate@austin.utexas.edu. For more information about reporting options and resources, visit <https://titleix.utexas.edu> or contact the Title IX Office at titleix@austin.utexas.edu.

Important Campus Safety Information

If you have concerns about the safety or behavior of fellow students, TAs or Professors, call BCAL (the Behavior Concerns Advice Line) at (512) 232-5050. Your call can be anonymous. If something doesn't feel right – it probably isn't. Trust your instincts and share your concerns.

The following are recommendations regarding emergency evacuation from the Office of Campus Safety and Security.

- Occupants of buildings on The University of Texas at Austin campus are required to evacuate buildings when a fire alarm is activated. Alarm activation or announcement requires exiting and assembling outside.
- Familiarize yourself with all exit doors of each classroom and building you may occupy. Remember that the nearest exit door may not be the one you used when entering the building.
- Students requiring assistance in evacuation shall inform their instructor in writing during the first week of class.
- In the event of an evacuation, follow the instructions of faculty or class instructors. Do not re-enter a building unless given instructions by the following: Austin Fire Department, The University of Texas at Austin Police Department, or Fire Prevention Services office.
- Link to information regarding emergency evacuation routes and emergency procedures can be found at: <http://emergency.utexas.edu>.

Land Acknowledgement

We would like to acknowledge that we are meeting on Indigenous land. Moreover, we would like to acknowledge and pay our respects to the Carrizo & Comecrudo, Coahuiltecan, Caddo, Tonkawa, Comanche, Lipan Apache, Alabama-Coushatta, Kickapoo, Tigua Pueblo, and all the American Indian and Indigenous Peoples and communities who have been or have become a part of these lands and territories in Texas, here on Turtle Island.

UNIVERSITY RESOURCES FOR STUDENTS

Your success in this class is important to me. If aspects of this course prevent you from learning or exclude you, please let me know as soon as possible. Together we will develop strategies to meet both your needs and the requirements of the course.

Personal or Family Emergencies

If you experience a personal or family emergency (death in the family, protracted sickness, serious mental health issues), you should contact [Student Emergency Services](#) in the Office of the Dean of Students. As advocates, SES supports students by providing the most comprehensive outreach, assistance, intervention, and referrals. They will also work with you to communicate with me and your other professors and let them know of your situation.

Accessibility

The university is committed to creating an accessible and inclusive learning environment consistent with university policy and federal and state law. Please let me know if you experience any barriers to learning so I can work with you to ensure you have an equal opportunity to participate fully in this course. If you are a student with a disability or think you may have a disability and need accommodations please contact Disability and Access (D&A). Please refer to their website for contact and more information: <http://diversity.utexas.edu/disability>. If you are already registered with D&A, please deliver your Accommodation Letter to me as early as possible in the term so we can discuss your approved accommodations and needs in this course.

[The University Writing Center](#) - The UWC provides free programs to support and empower all UT graduate students. UWC consultants provide one-on-one feedback on any project at any stage of your writing process. Check out UWC monthly writing groups, workshops, and retreats for group accountability and instruction.

[The Sanger Learning Center](#) - If you are looking to improve your study skills, writing skills, or public speaking skills you should take advantage of the Sanger Learning Center's classes and workshops, private learning specialist appointments, peer academic coaching, and tutoring.

[Counseling and Mental Health Center](#) - Students who are struggling for any reason and who believe that it might impact their performance in the course are urged to reach out to Bryce Moffett if they feel comfortable. This will allow her to provide any resources or accommodations that she can. If immediate mental health assistance is needed, call the Counseling and Mental Health Center (CMHC) at 512-471-3515, or you may also contact Bryce Moffett, LCSW (iSchool CARE counselor) at 512-232-4449. Bryce's office is in FAC18S, and she holds drop-in Office Hours on Wednesdays from 2-3 pm. Please contact the CMHC 24/7 Crisis Line at 512-471-2255 for urgent mental health concerns.

STUDENT RIGHTS AND RESPONSIBILITIES

- You have a right to a learning environment that supports mental and physical wellness.
- You have a right to respect.
- You have a right to be assessed and graded fairly.
- You have a right to freedom of opinion and expression.
- You have a right to privacy and confidentiality.
- You have a right to meaningful and equal participation.
- You have a right to learn in an environment that welcomes everyone.

With these rights come responsibilities:

- You are responsible for taking care of yourself, managing your time, and communicating with the teaching team and others if things start feeling out of control or overwhelming.
- You are responsible for acting in a way that is worthy of respect and always respectful of others.
- Your experience with this course is directly related to the quality of the energy that you bring to it, and your energy shapes the quality of your peers' experiences.
- You are responsible for creating an inclusive environment and for speaking up when someone is excluded.
- You are responsible for holding yourself accountable to these standards, holding each other to these standards, and holding the teaching team accountable as well.

Personal Names and Pronouns

Professional courtesy and sensitivity are especially important with respect to individuals and topics dealing with differences of race, culture, religion, politics, sexual orientation, gender, gender variance, and nationalities. Class rosters are provided to the instructor with the student's legal name unless they have added a "preferred name" with the Gender and Sexuality Center: <http://diversity.utexas.edu/genderandsexuality/publications-and-resources/>. I will gladly honor your request to address you by a name that is different from what appears on the official roster and by the gender pronouns you use. Please advise me of any changes early in the term so that I may make appropriate updates to my records. For instructions on how to add your pronouns to Canvas, visit <https://utexas.instructure.com/courses/633028/pages/profile-pronouns>

Introduction and Overview of Records, Archives, and the Archival Profession

introduction to the course; nature of the information professions; history of record traditions in the United States; nature of records professionals; the importance of records to society; characteristics of records; evidential and informational value; records versus papers; nature of the archive, digital archive, and trusted digital repository; duties of the archivist; examples of bodies of records; models for records and recordkeeping

Introduction to the Group Processing Project

Reading

- James M. O'Toole and Richard J. Cox, Understanding Archives & Manuscripts, Chapter 2: The History of Archives and the Archives Profession.
- Terry Cook, "Evidence, Memory, Identity, and Community: Four Shifting Archival Paradigms," Archival Science 13 (2-3) (2013): 95-120.
- Society of American Archivists, "Code of Ethics for Archivists"
http://www2.archivists.org/statements/saa-core-values-statement-and-code-of-ethics#code_of_ethics
- First Archivists Circle, Protocols for Native American Archival Materials (2007/2019),
https://www.sustainableheritagenetwork.org/system/files/atoms/file/Protocols_NAASmarkup_20190319.pdf

Processing – Classification and Arrangement

intellectual control; activity of processing; history of archival concepts including provenance, respect des fonds, and original order; the importance of context and evidence; connections to the PROV Data Model; levels of control - record group, subgroup, series, subseries, file; Australian series system; arrangement examples

Processing Project Groups are formed – discussion of next steps.

Begin work on Group Processing Project – make sure to read the Processing Manual and appendices sections of the Manual!

Reading

- T. R. Schellenberg, *European Archival Practices in Arranging Records* (Washington: [U.S.] General Services Administration, National Archives and Records Service. 1975).
- Shelley Sweeney, "The Ambiguous Origins of the Archival Principle of Provenance," *Libraries and the Cultural Record* 43 (2) (2008): 193-213.
- T. R. Schellenberg, "Archival Principles of Arrangement," in *A Modern Archives Reader*, edited by Maygene F. Daniels and Timothy Walch, (Washington, D.C.: National Archives and Records Service, 1984), 149-161.
- Complete the online training module - Chris Croft, Teamwork Foundations [1h 25m].

COURSE SCHEDULE

Week Three (Tuesday, January 30, 2024)

Flipped Classroom – In-Class Work on Arrangement

arrangement lab and discussion of practicum processing assignment

Reading

- Biography of Samuel Goudsmit (available through Canvas).
- Oliver W. Holmes, "Archival Arrangement--Five Different Operations at Five Different Levels," in *A Modern Archives Reader*, edited by Maygene F. Daniels and Timothy Walch (Washington, D.C.: National Archives and Records Service, 1984), 162-180.
- Jennifer Meehan, "Making the Leap from Parts to Whole: Evidence and Inference in Archival Arrangement and Description," *American Archivist* 72 (1) (Spring/Summer 2009): 72-90.

COURSE SCHEDULE

Week Four (Tuesday, February 6, 2024)

Processing – Best Practices

includes discussion of Goudsmit arrangement and practicum ARPP document, processing conundrums, MPLP, capturing processing metrics, best practices for processing digital and audio-visual material

ASSIGNMENT DUE: Goudsmit Arrangement

Reading

- Mark A. Greene and Dennis Meissner, "More Product, Less Process: Revamping Traditional Archival Processing," *American Archivist* 68 (2) (Fall/Winter 2005): 208-263.
- Lise Jaillant, "More Data, Less Process: A User-Centered Approach to Email and Born-Digital Archives," *American Archivist* 85 (2) (2022): 533-555.
- Mary Lynn Ritzenthaler and Diane Vogt-O'Connor, "Photographs: Archival Care and Management," "Photographs in Archival Collections" and "History of Photographic Processes."
- Guidelines for Efficient Archival Processing in the University of California Libraries (2020), <https://escholarship.org/uc/item/4b81g01z>

Processing – Description and Access

intellectual control; history, definitions, and objectives of archival description; the relationship of arrangement and description; descriptive tools; federating archival description; linked open data; finding aids; components of finding aids; authority work; national and international descriptive standards – including RiC-CM and RiC-O

Reading

- Jarrett M. Drake, “RadTech Meets RadArch: Towards a New Principle for Archives and Archival Description,” *Medium* (2016).
- Archival Arrangement and Description, Module 1: Standards for Archival Description and Module 3: Designing Descriptive and Access Systems.
- Putting Descriptive Standards to Work, Module 20: Sharing Archival Metadata.
- Carrie Hintz and Sarah Quigley, “A Matter of Trust: Practical Strategies for Writing User-Centered, Values-Driven Description,” *Journal of Archival Organization* 17 (3-4) (2020): 209-226.

Technology and the Transformation of Archival Description

DACS, archival description as an expression of professional ethics and values, archival workflow, database, and markup technologies, ArchivesSpace, MARC

Reading

- DACS: Describing Archives: A Content Standard - read the introduction and review parts I, and II, <https://saa-ts-dacs.github.io>
- Also read the Report on the Revision of DACS Statement of Principles, March 2017 and the Statement of Principles.
- Putting Descriptive Standards to Work, Module 17: Implementing DACS.
- Look at “MARC 21 Concise Format for Bibliographic Data” available from the LOC website at <https://www.loc.gov/marc/bibliographic>
- Review the Library of Congress “Understanding MARC Bibliographic Machine-Readable Cataloging” tutorial available at <https://www.loc.gov/marc/umb> and the “Understanding MARC Authority Records” at <http://www.loc.gov/marc/uma>
- Open-Source Software Solutions: ArchivesSpace, <http://www.archivesspace.org>

COURSE SCHEDULE

Week Seven (Tuesday, February 27, 2024)

Technology and the Transformation of Archival Description (EAD)

markup technologies, specifying elements in a document, EAD as a specific implementation of XML, DTDs and schemas, creating XML documents, delivering XML documents over the web, EAD in more detail (2002 and EAD3), XML authoring software, EAD tag library, EAC-CPF

ASSIGNMENT DUE: ARPP

Reading

- Putting Descriptive Standards to Work, Module 18: Using EAD3 and Module 19: Introducing EAC-CPF.
- EAD: Encoded Archival Description Official Site (EAD Official Site, Library of Congress)
<http://www.loc.gov/ead>
- Encoded Archival Description Tag Library, Version EAD3.
<http://www.loc.gov/ead/EAD3taglib/index.html>

COURSE SCHEDULE

Week Eight (Tuesday, March 5, 2024)

Practicum Meetings

COURSE SCHEDULE

Week Nine (Tuesday March 12, 2024)

Spring Break

Digital Archives and Preservation

stewardship of digital collections, archives and big data, Computational Archival Science, archives and small data, making digital curation a systematic institutional function, OAIS, systematic and non-systematic paths to acquiring material, digital forensics, pre-processing and arranging born-digital material, examples of the workflows and tools for processing and preserving born-digital materials, skill set for the digital archivist

Reading

- Corinne Rogers and Jeremy Leighton John, "Shared Perspectives, Common Challenges A History of Digital Forensics & Ancestral Computing for Digital Heritage," In Luciana Duranti and Elizabeth Shaffer (Eds.), *The Memory of the World in a Digital Age: Digitization and Preservation Conference Proceedings* (Vancouver, British Columbia, Canada. 26-28 September 2012).
- Trevor Owens, "Understanding Digital Objects" in *The Theory and Craft of Digital Preservation* (LIS Scholarship Archive, 2017).
- Archival Arrangement and Description, Module 2: Processing Digital Records and Manuscripts.
- University of California Systemwide Libraries, "UC Guidelines for Born-Digital Archival Description" (2017).

COURSE SCHEDULE

Week Eleven (Tuesday, March 26, 2024)

Appraisal

definitions, why appraise, the knowledge required for appraisal, appraisal and records management, historical overview of appraisal theories from Meisner to Cook to postmodernism, participatory appraisal, reappraisal and de-accessioning, appraisal of audio-visual material, appraisal of born-digital materials, the intersection of digital tools and appraisal, environmental and ethical considerations in appraisal, culturally responsible approaches to appraisal

ASSIGNMENT DUE: XML/EAD

Reading

- Ole Kolsrud, "The Evolution of Basic Appraisal Principles - Some Comparative Observations," *American Archivist* 55 (Winter 1992): 26-39.
- Carol Couture, "Archival Appraisal: A Status Report," *Archivaria* 59 (Spring 2005): 83-108.
- Ciaran B. Trace, "On or Off the Record? Notions of Value in the Archive," in *Currents of Archival Thinking*, eds. Terry Eastwood and Heather MacNeil (Santa Barbara, Calif.: Libraries Unlimited, 2010): 47-68.
- Katie Shilton and Ramesh Srinivasan, "Participatory Appraisal and Arrangement for Multicultural Archival Collections," *Archivaria* (Spring 2007): 87-101.

COURSE SCHEDULE

Week Twelve (Tuesday, April 2, 2024)

Acquisition, Accessioning, and Collection Development

definitions; determining a collecting focus; collection development documents and policies; types of collecting; fieldwork; acquisition; collection development with born-digital records; surveying records; working with donors; accessioning, acquiring and accessioning born-digital material; transfer process; ingest tools; micro-services; BitCurator & Archivematica

ASSIGNMENT DUE: Finding Aid

Reading

- OCLC Research, Total Cost of Stewardship: Responsible Collection Building in Archives and Special Collections (2021).
- Gabriela Redwine et al., Born Digital: Guidance for Donors, Dealers, and Archival Repositories (Council of Library and Information Resources, 2013).
- Julianna Barrera-Gomez and Ricky Erway, Walk This Way: Detailed Steps for Transferring Born-Digital Content from Media You Can Read In-house (OCLC Research, 2013).

Law and Ethics

policies, sources of law, transferring ownership and rights, providing access to materials, right to know, use and intellectual property, traditional knowledge licenses, codes of ethics, history of code of ethics, issues in enforcing codes of ethics, core values, ethics, and access issues with digital records

Flipped Classroom – In-Class Ethics Case Study

Identifying Culturally Sensitive American Indian Material in a Non-tribal Institution

Reading

- Mary Lynn Ritzenthaler and Diane Vogt-O'Connor, Photographs: Archival Care and Management, "Legal and Ethical Issues of Ownership, Access, and Use."
- Randall C. Jimerson, "Archives for All: Professional Responsibility and Social Justice," *American Archivist* 70 (2) (Fall/Winter 2007): 252-281.
- Michelle Caswell and Ricky Punzalan, "Archives and Human Rights: Questioning Notions of Information and Access," in *Perspectives on Libraries as Institutions of Human Rights and Social Justice* (Bingley, United Kingdom: Emerald Insight Advances in Librarianship Series, 2016), 287-301.
- Sara Hodson, "In Secret Kept, In Silence Sealed: Privacy in the Papers of Authors and Celebrities," *American Archivist* 67 (2004): 194-211.

COURSE SCHEDULE

Week Fourteen (Tuesday, April 16, 2024)

Access and Reference

what it means to serve a community, definitions, access, and user paradigm, types of access, barriers to access – knowing our history, users, and use of archival materials, reference versus research, reference models, reference process, archival instruction, archival literacy, security, technology and reference, culturally responsible approaches to access, access to digitized and born-digital material, archives and analog and digital engagement and participation – including open access

Reading

- Ciaran B. Trace, “For Love of the Game: An Ethnographic Analysis of Archival Reference Work,” *Archives and Manuscripts* 34 (1) (May 2006): 124-143.
- Wendy Duff and Allyson Fox, “You’re a Guide Rather than an Expert:” *Archival Reference from an Archivist’s Point of View*,” *Journal of the Society of Archivists* 27 (2) (October 2006): 129-153.
- John Hope Franklin, “Pursuing Southern History: A Strange Career,” in Winfred B. Moore et al. (eds), *Developing Dixie: Modernization in a Traditional Society* (Greenwood Press, 1988).
- Ashley Farmer, “Archiving While Black,” *Black Perspectives* (2018).
<https://www.aaihs.org/archiving-while-black>. Alternatively, you can listen to Dr. Farmer’s talk with ‘Archives in Context’ at
<https://archivesincontext.archivists.org/2020/08/11/season-4-episode-3-ashley-farmer>

COURSE SCHEDULE

Week Fifteen (Tuesday, April 23, 2024)

ASSIGNMENTS DUE: Final Finding Aid, EAD Finding Aid, and ‘My Contribution to the Processing Project’ Memo

Groups should come to class prepared to make an informal oral presentation (10 minutes) about the term processing project. Presentations may include:

- A brief overview of how your project aligned with the mission and vision of the repository
- A brief description of the collection and its importance
- An assessment of the potential audience for the collection
- A discussion of how your understanding of the collection changed
- A summary of the challenges you encountered in processing the collection and the strategies you devised during processing to solve these challenges and
- A brief description of how you would have processed this collection if you had been following MPLP guidelines.